

Grantee:

Site/sites:

Date:

Part 1:

Has the Grantee been found to be non-compliant with the agency's Fiscal Risk Assessment?	Yes- Grantee is scored as high risk .	No- Continue with program's risk assessment.
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Part 2:

A. Grant Performance Factors

At Risk Indicator	Low (0)	Moderate (1)	High (2)	Weight	Score
Students Served: The grantee has identified and is serving eligible students and their families consistent with the original grant application.	Served 80% or greater of proposed # of students attending program.	Served 80-89% of students per application goal.	Served below 80% of students per application goal.	1	
Unauthorized Actions or Grant Infidelity: The grantee makes revisions or deviations from grant application.	All actions were approved.	Minor actions without approved revisions.	Major actions without approved revisions.	2	
APR: The grantee complies with the OPI's data collection and reporting requirements including submittal of all data reports in a complete and timely manner.	Data for all 3 reporting periods was accurate and provided prior to deadline	Data was not accurate or was late for 1 reporting period	Data was not accurate or was late 2-3 of the reporting periods	2	
Student Achievement: The grantee is improving student achievement based off state reading assessment.	Students improved by 4-5% or more on state reading assessment.	Students improved by 2-3% on state reading assessment.	Students improved by 1.9% or less on state reading assessment.	2	
Professional Development: Program staff attend 21st CCLC required training sessions.	Attends all required trainings.	Attends 1-2 of required trainings.	Does not attend required trainings.	1	
Programing Hours: The grantee is providing a minimum of 40 hours per month during non-school hours.	40+ hours of programming are provided monthly.	30-39 hours of programming are provided.	Less than 30 hours of programming provided.	1	

B. Financial Stability

At Risk Indicator	Low (0)	Moderate (1)	High (2)	Weight	Score
Drawdowns: The grantee requests funds from their award.	6 or more drawdowns have been made.	3-5 drawdowns have been made.	2 or fewer drawdowns have been made.	2	
Unallowable Expenses: The grantee does not spend funds appropriately.	No unallowable expenses requested.	1-2 unallowable expenses requested.	Over 2 unallowable expenses requested.	2	
Budget Revisions: The grantee has made revisions to the original grant.	1 budget revision was made.	2 budget revisions were made.	3 or more budget revisions made.	1	

C. Management Systems

At Risk Indicator	Low (1)	Moderate (1)	High (2)	Weight	Score
Monitoring & Compliance: Consideration should be given for the results of previous monitoring and compliance visits.	No findings during monitoring visits.	One finding resulting in a corrective action plan within the last 2 years.	Two or more findings resulting in a corrective action plan within the last 2 years.	2	
Communication: The grantee should communicate with schools, partnership entities, and the OPI in an appropriate and timely manner.	The grantee responds in a timely manner and is in good standing with their community partners.	The grantee is inconsistent with their communication. One complaint has been filed from a community partner.	The grantee is not responsive with their communication. Two or more complaints have been filed by community partners.	1	

D. Additional Risk Factors

At Risk Indicator	Low (0)	Moderate (1)	High (2)	Weight	Score
New Superintendent	Greater than 2 years	1-2 years	Less than 1 year	1	
New 21st CCLC Program Director	Greater than 2 years	1-2 years	Less than 1 year	2	
New 21st CCLC Fiscal Agent	Greater than 2 years	1-2 years	Less than 1 year	1	
New 21st CCLC Grant	3 or more years	2nd year	1st year	2	
Consortia Member	3 or more years within consortia.	2nd year within consortia.	1st year	2	

Totals:

High Risk- 34-43

Moderate Risk- 25-33

Low Risk- >24

Considerations for High Risk or Moderate Risk Status

Concerns Regarding the Grant for _____

Risk Assessment Score _____

Recommendations:

It has been determined that _____ is a high risk or moderate risk grantee. Risk status will be re-assessed on _____.

Grant Director _____ Date _____

Site Coordinator _____ Date _____

State 21st CCLC Director _____ Date _____

OPI Administrator _____ Date _____